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## NOTICE OF ALLOWANCE AND FEE(S) DUE

28765 7590 06/23/2010 WINSTON & STRAWN LLP PATENT DEPARTMENT

1700 K STREET, N.W.

WASHINGTON DC 20006

EXAMINER
HAMUD, FOZIA M

ART UNIT PAPER NUMBER

1647 DATE MAILED: 06/23/2010

ſ	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	10/562,735	05/19/2006	Ofer Mandelboim	85189-17600	7654

TITLE OF INVENTION: FRAGMENTS OF NKP44 AND NKP46 FOR TARGETING VIRAL-INFECTED AND TUMOR CELLS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	09/23/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and I/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

appropriate. All further indicated unless correcte maintenance fee notifical	correspondence includir ed below or directed oth	or transmitting the ISS ig the Patent, advance of nerwise in Block 1, by	orders and notification of n (a) specifying a new corres	ON FEE (if require naintenance fees will pondence address; a	ed). Blocks I through 5 II be mailed to the curre and/or (b) indicating a se	should be completed where nt correspondence address as parate "FEE ADDRESS" for
CURRINT CORRESPONDENCE ADDRESS (Non: the Book 1 for any change of address)  28765 7590 06723/2010  WINSTON & STRAWN LLP PATENT DEPARTMENT 1700 K STREIT, N.W.			Note Fee( pape	e: A certificate of m s) Transmittal. This ers. Each additional p erits own certificate of	nailing can only be used certificate cannot be used paper, such as an assignm of mailing or transmission	for domestic mailings of the I for any other accompanying nent or formal drawing, must
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WASHINGTON	, DC 20006					(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	1	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/562,735	05/19/2006		Ofer Mandelboim		85189-17600	7654
			TARGETING VIRAL-INF			T.
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE		
nonprovisional	YES	\$755	\$300	\$0	\$1055	09/23/2010
EXAM	INER	ART UNIT	CLASS-SUBCLASS			
HAMUD,		1647	514-008000			
I. Change of correspondence address or indication of "Fee Address" (2 FRI. 18.53).  Change of correspondence address (or Change of Corresponden Address form PTOS/P1/22) attack.  The Address form PTOS/P1/23 (attack) and the PTOS/P1/24 (attack) and the PTOS/P1/24 (attack) attack.  The Address' indication (or "Fee Address' Indication form PTOS/P1/24 (br. 19.62) or more recent) attached. Use of a Custom Number is required.  ASSIGNEE NAME AND RISIDENCE DATA TO BE PRINTED			2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively.  (2) the name of a single firm (thaving as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.			
PLEASE NOTE: Uni recordation as set forti (A) NAME OF ASSIG	ess an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified below, no assigner eletion of this form is NO	e data will appear on the p DT a substitute for filing an (B) RESIDENCE: (CITY	atent. If an assignee assignment. and STATE OR CO	OUNTRY)	document has been filed for group entity.
4a. The following fee(s):  Issue Fee Publication Fee (N	o small entity discount p		tb. Payment of Fee(s): (Plea A check is enclosed. Payment by credit can The Director is hereby overpayment, to Depo	d. Form PTO-2038 i	is attached.	te shown above)  deficiency, or credit any an extra copy of this form).
	s SMALL ENTITY state	as. See 37 CFR 1.27.	b. Applicant is no long			
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accept tes Patent and Trademar	ed from anyone other than the office.	he applicant; a regist	ered attorney or agent; or	the assignee or other party in
Authorized Signature				Date		
Typed or printed name			Registration No.			
This collection of inform an application. Confident submitting the complete this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223	ation is required by 37 C itality is governed by 35 I application form to the ons for reducing this but irginia 22313-1450. DC 13-1450.	CFR 1.311. The informat U.S.C. 122 and 37 CFR USPTO. Time will var rden, should be sent to to O NOT SEND FEES OR	ion is required to obtain or r R 1.14. This collection is est y depending upon the indiv he Chief Information Office COMPLETED FORMS TO	etain a benefit by the imated to take 12 mi idual case. Any com r, U.S. Patent and To THIS ADDRESS.	e public which is to file (a inutes to complete, includ aments on the amount of rademark Office, U.S. De SEND TO: Commissione	and by the USPTO to process) ling gathering, preparing, and time you require to complete epartment of Commerce, P.O. er for Patents, P.O. Box 1450,

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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10/562,735	05/19/2006	Ofer Mandelboim	85189-17600 7654		
28765	7590 06/23/2010		EXAM	UNER	
WINSTON & STRAWN LLP			HAMUD, FOZIA M		
PATENT DEPARTMENT			ART UNIT	PAPER NUMBER	
1700 K STREET, N.W. WASHINGTON, DC 20006			1647 DATE MAII ED: 06/23/201	0	

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 410 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 410 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## Notice of Allowability

Application No.	Applicant(s)	
10/562,735	MANDELBOIM ET A	AL.
Examiner	Art Unit	
FOZIA M. HAMIID	1647	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to the response filed on 03/31/2010.
- The allowed claim(s) is/are 1, 2, 4-11, 20-23, 47, 49-59, (now renumbered 1-26, respectively)...
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a)  $\square$  All b) ☐ Some\* c) ☐ None of the:
    - 1. T Certified copies of the priority documents have been received.
    - 2. Certified copies of the priority documents have been received in Application No.
    - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) hereto or 2) to Paper No./Mail Date
  - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. 

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

- 1. | Notice of References Cited (PTO-892)
- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08). Paper No./Mail Date
- 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- 7. X Examiner's Amendment/Comment
- 8. T Examiner's Statement of Reasons for Allowance
- □ Other .

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Art Unit: 1647

RCE:

1a. A request for continued examination under 37 CFR 1.114, including the fee set

forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this

application is eligible for continued examination under 37 CFR 1.114, and the fee set

forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action

has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 31

March 2010 has been entered.

1b. Receipt to Applicants' response filed on 06 May 2010.

1c. Receipt of Applicants' Declaration under 37 C.F.R. §1.132 by invention Angel

Porgador is acknowledged.

Response top Applicants' Amendment:

Application/Control Number: 10/562,735

The following objection and rejections are withdrawn in light of Applicants'

arguments:

I. The rejection of claims 20-23 made on the ground of nonstatutory obviousness-

type double patenting as being unpatentable over claims 1, 3, 4, of copending

Application No. 10/538,231, is moot, since Application No. 10/538,231 has now been

abandoned.

II. The rejection of claims 1-11, 20-27, 47-48 made under 35 U.S.C. 112, first

paragraph, for not enabling the full scope of the claimed invention and the rejection of

claims 1-11, 20-27 and 47 for not complying with the written description provision of 35

U.S.C. 112, first paragraph are withdrawn.

Application/Control Number: 10/562,735

Art Unit: 1647

The Declaration filed under 37 C.F.R. §1.132 by invention Angel Porgador is sufficient to overcome said rejections. Applicants have conducted experiments with three NKp46 D2 domain peptides, designated as Peptide #7, Peptide # 12 and Peptide #13. Applicants demonstrate that each of Peptide #7, Peptide # 12 and Peptide #13 enhanced NKp46D2-Ig binding to target cell population, as compared to NKp46D2-Ig staining without peptide or with non-affecting peptides.

## EXAMINER'S AMENDMENT

3a. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Allan A. Fanucci (Applicants' Representative) on 16 June 2010.

The application has been amended as follows:

## Please Amend the Claims as follows:

Claim 1. (Twice Amended) An isolated peptide fragment of the natural cytotoxicity receptor NKp46, wherein the peptide fragment comprises comprising—a peptide derived from of the D2 of the extracellular domain of the NKp46 receptor, wherein the isolated peptide fragment is about that is 10-100 20-100 amino acid residues in length and wherein said peptide fragment exhibits at least one activity selected from binding to a viral infected cell or binding to a tumor cell.

Application/Control Number: 10/562,735

Art Unit: 1647

Claim 4. (Twice Amended) The isolated peptide fragment of the human NKp46 receptor according to claim 13, the peptide having the ability to bind to target cells selected from viral-infected cells and tumor cells, with the proviso that said peptide is other than SEQ ID NOsS: 1 and 2.

Claim 20. (Twice Amended) A fusion protein comprising an isolated peptide fragment of the natural cytotoxicity receptor NKp46, and further comprising a molecule selected from an immunoglobulin (Ig) molecule or a fragment thereof, and a cytotoxic substance; wherein the peptide fragment comprises comprising a peptide derived from of the D2 of the extracellular domain of the NKp46 receptor, wherein the peptide fragment is about that is 20-100 10-100 amino acid residues in length; wherein said fusion protein comprising said peptide fragment exhibits at least one activity selected from binding to a viral infected cell or binding to a tumor cell; and wherein said fusion protein is other than the fusion proteins of SEQ ID NOss:13-16.

Claim 47. (Twice Amended) A variant polypeptide of the natural cytotoxicity receptor NKp46, the variant comprising at least a single amino acid substitution in an epitope required for recognition of viral-infected cells or tumor cells, wherein the epitope is in the proximal domain of the NKp46 receptor a (SEQ ID NO:1), and wherein the single amino acid substitution is at an amino acid residue selected from the group consisting of Threonine 125, Threonine 225 and Asparagine 216.

Claim 49. (Once Amended) The variant polypeptide of claim <u>47</u>.48, wherein the single amino acid substitution is selected from the group consisting of: Threonine 225 replaced by an amino acid residue selected from the group consisting of Serine, Alanine

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Art Unit: 1647

and Asparagine; Threonine 125 replaced by Alanine, and Asparagine 216 replaced by Alanine

Claim 50. (Once amended) The variant polypeptide of claim 47, wherein the amino acid substitution is at a ene-of-more-glycosylation site sites-within the proximal domain of the NKp46 receptor.

3b. Please cancel claims 3, 34-35, 38-46 and 48 without prejudice or disclaimer.

## Conclusion:

4. Claims 1, 2, 4-11, 20-23, 47, 49-59, (now renumbered 1-26, respectively).

## Advisory Information:

Any inquiry concerning this communication or earlier communications from the examiner should be directed to FOZIA M. HAMUD whose telephone number is (571)272-0884. The examiner can normally be reached on Monday-Friday: 8:00 am to 4:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary B. Nickol can be reached on (571) 272-0835. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

## Fozia Hamud

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Patent Examiner Art Unit 1647 16 June 2010

> /Bridget E Bunner/ Primary Examiner, Art Unit 1647